Congestion Prediction in Internet of Things Network using Temporal Convolutional Network: A Centralized Approach

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ABSTRACT

The unprecedented ballooning of network traffic flow, specifically the Internet of Things (IoT) network traffic, has big stress of congestion on today’s Internet. Non-recurring network traffic flow may be caused by temporary disruptions, such as packet drop, poor quality of services, delay, etc. Hence, network traffic flow estimation is important in IoT networks to predict congestion. As the data in IoT networks is collected from a large number of diversified devices with unlike formats of data and manifest complex correlations, the generated data is heterogeneous and nonlinear. Conventional machine learning approaches are unable to deal with nonlinear datasets and suffer from the misclassification of real network traffic due to overfitting. Therefore, it also becomes hard for conventional machine learning tools like shallow neural networks to predict congestion accurately. The accuracy of congestion prediction algorithms plays an important role in controlling congestion by regulating the send rate of the source. Various deep learning methods, such as LSTM, CNN, and GRU, are considered in designing network traffic flow predictors, which have shown promising results. This work proposes a novel congestion predictor for IoT that uses TCN. Furthermore, we use the Taguchi method to optimize the TCN model which reduces the number of experiment runs. We compare TCN with the other four deep learning-based models concerning Mean Absolute Error (MAE) and Mean Relative Error (MRE). The experimental results show that the TCN-based deep learning framework achieves improved performance with 95.52% accuracy in predicting network congestion. Further, we design the Home IoT network testbed to capture the real network traffic flows as no standard dataset is available.

Keywords: IoT; TCN; Congestion; Taguchi; Prediction; Classification

1. INTRODUCTION

The use of Internet-connected smart devices, otherwise called the Internet of Things (IoT), is increasing rapidly in our homes, offices, smart cities, and many other places. These IoT devices are resource constrained, and a continuous rise in the volume of network traffic may lead to network congestion. A high traffic volume in IoT networks leads to extra communication delay, low network throughput, and waste of computing resources and bandwidth. These reasons ultimately become the cause of congestion. Diagnosing network congestion and building a framework that can classify and predict it is one of the most important issues as it affects the decision of the routing path process and optimal bandwidth utilization. Therefore, for effective communication in the network, it is essential to classify and predict congestion and take appropriate preventive measures accordingly. Hence, research for the classification and prediction of congestion in the network, especially for IoT networks, is extremely important.

Typically, state-of-the-art congestion prediction in IoT networks relies on conventional techniques as used in Wireless Sensor Networks (WSN). These research works are focused only on the computing revolution, including the usage of high-end computers and advanced algorithms for managing traffic and performing flow prediction. Few efforts have been made to forecast short-term traffic flow, including simulation techniques, mathematical models, statistical approaches, and regression techniques.

The data created by IoT networks are heterogeneous since it is gathered from numerous diverse devices with different data formats and complex correlations. As a result, it also becomes very challenging for traditional machine learning technologies, such as shallow neural networks, to effectively forecast congestion. Machine learning methods attain poor performance when dealing with high dimensional state spaces in congestion control problems, and their performance does not improve with increasing data. In particular, it is expensive to measure the traffic volume when there is high traffic on the IoT network.

The traditional feature selection techniques of machine learning mainly consider the port and payload as features. Reusability and unfixed assignment of port numbers make it less effective to choose a port as a dominant feature in traffic classification. In contrast, payload as a feature fails due to encrypted traffic packet inspection. The problem in IoT...
networks, like congestion prediction, is an adversarial problem due to random burst traffic and heterogeneity in devices and protocols. In such cases, machine learning techniques that learn from historical data can make the wrong prediction. Recently, statistical feature-based machine learning techniques have received attention, particularly in the field of wired and wireless networking. However, adopting machine learning as an invincible approach and using it for real-time IoT network traffic is not good. In contrast, deep learning is a centralized approach that uses basic information as direct input and automatically performs feature extraction.

Deep learning eliminates the need for experts in a specific area by utilizing hierarchical feature extraction, which makes information distillation efficient, provides more abstract correlations in the data, and reduces the pre-processing effort. Deep learning algorithms can proficiently comprehend the features of a large amount of data. Parallel computation using graphics processing units (GPUs) allows deep learning to draw inferences in milliseconds. This allows for high-accuracy and timely network analysis and control, eliminating the run-time constraints of conventional mathematical approaches (e.g., convex optimization, game theory). The prediction of congestion in IoT networks has a temporal dependency. The temporal dependency means predicting congestion or a high traffic volume at a specific time instance is done based on past observations. Real-time prediction of network congestion requires continuous feeding and learning. The number of time slots may increase rapidly with time, which results in high computational complexity and also affects prediction accuracy. Recurrent Neural networks (RNN) and Long Short Term Memory (LSTM) are the most common deep learning methods to analyze time-series data. However, RNN models cannot directly calculate the long sequence of time points. In contrast, LSTM can process millions of time points, but it works sequentially, making it inefficient in generating timely responses. On the contrary, Temporal Convolutional Network (TCN), a deep learning-based model, has a parallel processing capability and training and forecasting time lower than LSTM. Therefore, in this work, we use the TCN model to predict congestion accurately.

Our proposal also leverages the dropout technique of deep learning to cope with the overlearning problem. The proposed approach works for the real IoT network traffic for congestion prediction. In addition to state-of-the-art congestion control techniques in IoT networks, our proposal is a centralized approach toward congestion control that reduces the burden of end devices on congestion prediction.

In summary, the following are the main contributions of our proposed approach.

- We created a Home IoT network testbed and gathered the network traffic flows of various IoT applications because, as far as we are aware, there is no such labeled dataset of IoT network traffic available.
- The traditional approaches use the brute force method to tune the hyperparameters and structure of deep learning models. Brute force approaches take a long time to train the model and are inefficient for achieving high prediction accuracy. The proposed approach uses a design of the experiment (DoE) based Taguchi method as a novel way to achieve the optimal structure of TCN to resolve this issue for IoT network traffic prediction. Compared with brute force approaches to tune and train the models, the Taguchi method decreases the number of trials required to tune hyperparameters and models, which results in the accuracy of forecasting results.
- IoT networks have heterogeneous devices and irregular traffic flow. In such cases, when we train the model, it may be overfitted, and we do not have an accurate prediction as desired. We use the concept of regularization by applying the dropout layer to avoid overfitting.
- We use a deep learning-based imputation technique to deal with missing values generated during data collection. This improves overall accuracy by converting incomplete data to complete data.
- To the best of our knowledge, TCN has not previously been applied to congestion prediction and detection problems. Consequently, the work discussed in this paper is original by its very nature.

The contour of the paper is as follows. Section 2 presents state-of-the-art work in the field of IoT network traffic classification and prediction. Section 3 formulates IoT network traffic problems and emphasizes the necessity of deep networks for IoT network traffic classification and prediction along with the proposed methodology. Section 4 represents the structure of TCN and hyper-parameter tuning by the Taguchi Method. In Section 5, empirical results of deep learning models about the accuracy of prediction and the role of activation functions in enhancing real-time prediction accuracy are presented. The conclusion and future scope of this work are presented in Section 6.

2. RELATED WORK

Most of state-of-the-art in the area of IoT networks is related to IoT congestion control, classification of network attacks based on the traffic, IoT device classification, and traffic classification incorporating machine learning and deep learning. In this section, we introduce and analyze state-of-the-art.

Heterogeneous vehicular networks may experience congestion due to increased resource demands for data transmission as a result of the rise in intelligent vehicle adoption and the popularity of various safety and comfort applications. Safety applications, including emergency braking systems, traffic danger alerts, and collision avoidance signals, require low latency and high bandwidth. Unfortunately, congestion often reduces network performance and customer pleasure (QoS) by reducing the quality of service. Reduced QoS is also a result of inadequate mobility models, routing protocols, and communication mediums. Falahattrafar, et al. proposed a congestion prediction and avoidance approach named Intelligent Congestion Avoidance Mechanism (ICAM) based on the Generalized Regression Neural Network (GRNN). The authors compared the proposed GRNN congestion prediction
Demir, et al. proposed mICoCoA, a machine learning-based enhancement in CoCoA [16], the advanced variant of the Constrained Application Protocol (CoAP). CoCoA is used to control congestion by estimating the Re-transmission Timeout (RTT) values, as shown in equation 1. RTT is the round trip time, and RTTVAR gives the difference between the successive RTT and current RTT measurements. Here, x is a variable that denotes the strong and weak RTT. Strong RTT is calculated when an acknowledgment is received without any retransmission. Weak RTT is calculated when an acknowledgment is received after the number of retransmission. Here, α, β, λ, K, and are the constants, and their values are defined statically.

\[
\begin{align*}
RTTVAR_x &= (1-\beta) \cdot RTTVAR_x + \beta \cdot (RTT_x - RTT_{x,\text{new}}) \\
RTT_x &= (1-\alpha) \cdot RTT_x + \alpha \cdot RTT_{x,\text{new}} \\
RTO_x &= SRRTT_x + K \cdot RTTVAR_x \\
RTO_{\text{overall}} &= \lambda \cdot RTO_x + (1-\lambda) \cdot RTO_{\text{overall}}
\end{align*}
\]

The approach mICoCoA claims that the dynamic prediction of the values of these constants using machine learning can increase the network throughput of the IoT network. The authors performed the set of experiments by creating the CoCoA network for collecting the ground truth, α, β, λ, and K. The SVM predicts the values of these constants. The precise estimation of RTO provided by the accurate prediction of these values reduces unnecessary retransmission.

Sander, et al. proposed DeePCCI to identify congestion based on packet arrival time (passive entity) in traffic flow. Being based on the usage of passive knowledge, it works on encrypted transport headers also. DeePCCI architecture is the combination of CNN and LSTM, which are parts of a Deep Neural Network (DNN). The only feature taken is packet arrival time, whose histogram bins of size 1 ms are generated and then fed into the DNN and extracted through 2D VGGNet-13. The authors used the single host, multiple hosts, and mininet-based network testbeds by using the standard Linux 4.18 Kernel to generate the network traffic. The authors evaluated the performance on various vantage points after and before the bottleneck giving the final accuracy of the chosen network in BBR, CUBIC, RENO, CUBIC-p, and RENO-p. The authors analyzed the performance of DeepCCI and showed that it has limited performance because the training is done using testbed-generated data that lacks the Internet’s inherent noise. Further, they observe that DeepCCI needs to be re-trained for different kernels to capture behavioral differences appropriately.

Xiao, et al. proposed TCP-Drinc for smartly controlling congestion for the TCP variants. The authors use Deep Reinforcement Learning which learns from experience. Congestion is controlled by adjusting the window size. The authors used the deep convolutions neural network and extracted stable features from abundant but noisy data measurements. TCP-Drinc is compared with the various versions of TCP, i.e., TCP-New Reno, TCP-Cubic, TCP-Hybla, TCP-Vegas, and TCP-Illinois. TCP-Drinc yields maximum throughputs and the second lowest Round Trip Time for the entire period of propagation delay. TCP-Drinc has a higher round trip time than TCP-Vegas.

Najm, et al. proposed a novel machine learning-based model built upon a decision tree algorithm for predicting congestion in 5G IoT networks. The authors determine the optimal congestion window using specific network conditions, including high throughput, high congestion window, large queue size, and low loss. The authors applied C4.5, RepTree, and Random Tree-based decision tree approaches along with clustering and stacking approaches. The results show that the C4.5 decision tree algorithm has the best performance compared to other machine learning algorithms; The authors present a tree-based graph that suggests the optimal path through which congestion can be controlled. The authors compared their model with the original Stream Control Transmission Protocol (SCTP) and claimed a 14.5 % improvement in performance.

Doshi, et al. worked on detecting distributed denial of service (DDoS) attacks using well-known machine learning algorithms in the IoT network. A large number of Botnets like Mirai leveraged vulnerable IoT devices for performing DDoS attacks in IoT networks. The network includes IoT devices such as home gateway routers and other middleboxes for keeping track of traffic flow between IoT devices on the local network and the rest of the Internet. Binary classification is applied to separate the data for both DDoS attacks as 0 and 1. Data is collected from the devices, such as home cameras and Bluetooth devices connected to blood pressure monitors, all connected to the router via Raspberry Pi. Non-IoT traffic is filtered out from the pcap files.

Sivanathan, et al. proposed a robust approach for classifying IoT devices using traffic characteristics that are acquired at the network level. A setup with 28 IoT devices and a few non-IoT devices was created to validate the proposed approach. OpenWrt was used to monitor the traffic flow for 26 weeks. Each of the devices showed some pattern for different network characteristics. Among all the features, nine features were selected to perform the classification: a bag of a port number, a bag of domain names, a bag of cipher suites, flow volume, DNS interval, flow duration, sleep time, flow rate, and NTP interval. All the data with these features were fed into a two-stage machine learning model, which predicted the confidence for a particular type of IoT device. The first stage, called Stage 0, implements the Naive Bayes Multinomial Classifier independently for features, viz., a bag of the port number, bag of domain names, and bag of cipher suites. Each of these features returned moderate accuracy for different devices. Then the output of all these three classifiers is fed into the second stage, i.e., Stage 1. In Stage 1, the outputs from the Naive Bayes Multinomial Classifier and the remaining six features were fed into the Random Forest Classifier,
which provided the required confidence for each input. This confidence is then mapped to the IoT device’s classes, and the authors obtain the desired classification of IoT devices.

Lopez, et al.\textsuperscript{13} proposed a network traffic classifier (NTC) to predict the network services among different communication protocols. The proposed network traffic classifier considers the flow-based features, e.g., source and destination ports and bytes transmitted per packet. The authors used the different deep learning models and their combinations (RNN, CNN, and CNN+RNN) to infer the network services. NTC uses the RedIRIS dataset consisting of 266,160 network flows from 108 services to validate the approach. The results show that the combination of CNN+RNN has the best accuracy in terms of F1 score, precision, and recall.

Table 1 summarises each of the papers discussed in this section considering category, classification methods used, selected features, traffic (simulated or real), and year.

### Table 1. State-of-the-art comparison

<table>
<thead>
<tr>
<th>Paper</th>
<th>Category</th>
<th>DL Method</th>
<th>Features</th>
<th>Traffic</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Falahatrafar\textsuperscript{[14]}</td>
<td>Congestion Control</td>
<td>Regression Neural Network</td>
<td>No. of vehicles, data rate, transmission power, and bandwidth</td>
<td>OMNeT++</td>
<td>2022</td>
</tr>
<tr>
<td>Demir\textsuperscript{[15]}</td>
<td>Congestion Control</td>
<td>SVM</td>
<td>RTT, RTO</td>
<td>Cooja Network</td>
<td>2020</td>
</tr>
<tr>
<td>Sander\textsuperscript{[10]}</td>
<td>Congestion Control</td>
<td>RNN+LSTM</td>
<td>Packet arrival</td>
<td>Mininet</td>
<td>2019</td>
</tr>
<tr>
<td>Xiao\textsuperscript{[7]}</td>
<td>Congestion Control</td>
<td>Deep Reinforcement Learning</td>
<td>Size of congestion window, RTT, inter-arrival time of ACK</td>
<td>NS-3</td>
<td>2019</td>
</tr>
<tr>
<td>Najm\textsuperscript{[17]}</td>
<td>Congestion Control</td>
<td>Decision tree</td>
<td>CWND, Throughput, Queue Size, Packet Loss</td>
<td>NS-3</td>
<td>2019</td>
</tr>
<tr>
<td>Doshi\textsuperscript{[11]}</td>
<td>DDoS attacks</td>
<td>KNN,LSVM,DT,RF</td>
<td>Packet header fields, flow information over very short time windows</td>
<td>Consumer IoT device network</td>
<td>2018</td>
</tr>
<tr>
<td>Sivanathan\textsuperscript{[12]}</td>
<td>IoT device classification</td>
<td>Multi-stage machine learning</td>
<td>Activity cycles, port numbers, signaling patterns, and cipher suites</td>
<td>Real network Traffic</td>
<td>2018</td>
</tr>
<tr>
<td>Lopez\textsuperscript{[13]}</td>
<td>Protocol-wise traffic Classification</td>
<td>CNN+RNN</td>
<td>Source port, destination port, payload size, TCP window size, inter-arrival time, and flow direction</td>
<td>RedIRIS</td>
<td>2017</td>
</tr>
</tbody>
</table>

3. PROBLEM STATEMENT AND METHODOLOGY

Real-time traffic volume prediction plays a vital role in proactive network traffic management. Many forecasting models have been proposed to address this issue.\textsuperscript{26-27} However, most of them suffer from the inability to fully utilize the rich information in traffic data to generate efficient and accurate traffic predictions from longer-term data (e.g., seven-day predictions at a five-minute interval). The accuracy of prediction of traffic flow depends on the availability of historical data along with a reliable technique that can filter the intrinsic properties from the dataset to precisely forecast future flows. Let, the IoT network has the N number of IoT devices. R is a volume of real network traffic at a time t at the gateway node represented by (R, t). R at (t + n) is the volume of real network traffic for the next time point t + n and is represented by (R, t + n). The traffic volume prediction problem at time t is defined to find the predictor of (R, t) via a sequence of historical or training traffic datasets $(R_{t-n}, R_{t-n+1}, R_{t-n+2}, \ldots)$. The key problem here is to develop or apply a model that defines the inherent relationships between the historical or training dataset and prediction of network traffic so that the network system can be able to accurately predict the traffic volume to control congestion.

3.1 METHODOLOGY

This section describes a proposed methodology for predicting congestion in the IoT network as shown in Fig. 1. The big issue in our work was the lack of a publicly available labeled dataset of congestion scenarios for IoT networks. To the best of our search, we could not find any such dataset to solve the problem statement.

Therefore, as the first step, we set up our own IoT home testbed, as shown in Fig. 2. There are two main reasons to design a home IoT testbed (i) to capture network traces from real-world IoT devices and (b) to develop a realistic smart environment for evaluating the proposed approach. Figure 2 describes the proposed IoT testbed environment. In our IoT network setup, we use the computer (Ubuntu installed) having two network interface cards (NIC1, NIC2). NIC 1 serves as a gateway and connects to the Internet, while NIC 2 uses an L2 (S.No 13) switch to connect to the rest of the network. We use a 4G TP-Link MR6400 (S.No 14) router running CoAP, DHCP, NAT, and DNS forwarding. The public Internet is accessible through this TP-Link. An Unifi Access Point (S.No 12) is connected to the L2 switch. The devices that are connected with Ubiquiti Access Points are as follows.

- General-purpose hubs (3, 7)
- Several consumer electronics (4, 5, 6),
- Two smart plugs (9) can accommodate extra offline devices
- Environmental devices (1, 8, 2).

With the L2 switch, the device-specific hubs (10 and 11) are linked. These hubs control the devices that are plugged into them. L2 switch routes all incoming and outgoing traffic,
which mirrors all testbed traffic on the switch port attached to the Ubuntu PC. On the Ubuntu PC, we set up the following packages: Wireshark (for traffic capture), block-mount package kmod-USB-core (for mounting external USB base memory), and package kmod-USB-storage (for storing the network traffic traces on USB storage). A bash script is run to automate the data collecting and storage. This execution of script records pcap files on an external USB storage device that is connected to the PC.

We collected the network traffic traces after the testbed has been set up. We introduced a burst into the network to generate congestion because the goal is to predict network congestion. We collect the network traces in the form of pcap files using Wireshark. This network data contains traffic traces and session logs of different devices at network layers as per the requirement of applications. For example, congestion detection problem in IoT network often needs datasets of packet-level traces labeled along with corresponding classes. Wireshark’s filters are used to segregate congestion states from the normal traffic states. We filtered congestion traffic with the help of Bad TCP, ICMP errors, Retransmission, and Duplicate ACK filters and stored this traffic in the pcap file named “congestion.” The rest of the traces of normal traffic are stored in another pcap file named “normal.”

We perform the Exploratory Data Analysis (EDA) for data pre-processing. EDA helps to find various information about the data, such as missing values, normalization, mean categorical data, median, distribution of data, and correlations. We carry out the EDA using the Profiling tool. After removing all the noise, inconsistency, and missing values, we obtain a complete and accurate dataset. Now, we start looking for relations among various features of the data. The feature selection process can be done manually or using some mathematical or algorithmic techniques. In the case of manual feature selection, one must

We use CICFlowmeter to convert these two pcap files to comma-separated values (CSV) files. CICFlowMeter is a network traffic flow generator and analyzer that produce 83 network traffic features from the pcap files. Hence, there are 83 columns in the CSV file. CICFlowMeter provides bidirectional flows from source to destination and destination to a source with duration, number of packets, number of bytes, length of packets, etc. These features are calculable separately in both directions. These columns contain low-level features (Flow ID, Source IP, Destination IP, Source port, Destination port, and protocol) and other high-level features. We added class labels (Congestion, No Congestion) in the last column of both CSVs.
have a thorough understanding of the features, the relationship between them, and how one feature group would perform better than the other feature groups. On the contrary, algorithmic feature selection lowers the role of manual efforts. In addition, compared to manual feature selection, it avoids errors in feature selection and takes less time to identify the most trustworthy features. Therefore, algorithmic feature selection is preferred over manual selection.

In this study, we employ a correlation matrix and Principal Component Analysis (PCA), a popular feature selection algorithm, to analyse the relationship between various features in the dataset.\textsuperscript{30} The correlation matrix is a symmetric matrix that defines the relationship between various fields. In mathematical terms, a correlation matrix is a matrix of correlation coefficients between a set of variables. The diagonal of the correlation matrix is filled with 1s because the correlation between the same variables is 1. The coefficient is between -1 and 1, where 1 shows a 100% positive linear correlation, while -1 depicts a 100% negative linear correlation. The correlation matrix is a symmetric matrix that defines the relationship between various features. In mathematical terms, a correlation matrix is a matrix of correlation coefficients between a set of features. The coefficient is between -1 and 1, where 1 shows a 100% positive linear correlation, while -1 depicts a 100% negative linear correlation. The diagonal of the correlation matrix is filled with 1s because the correlation between the identical features is 1. Furthermore, 0 means the two features are not correlated. The Pearson correlation coefficient\textsuperscript{31} metric is used to evaluate the correlation between the features. It measures the linear correlation between the two features using Eqn. 2. We are using pandas profiling to find the highly correlated features.

\begin{equation}
\rho(X,Y) = \frac{\text{Cov}(X,Y)}{\sqrt{\text{Var}(X)\text{Var}(Y)}}
\end{equation}

In our work, the correlation is considered high if the Pearson correlation coefficient is larger than 0.9 or less than -0.9. We started with the dataset consisting of 83 features. We dropped 30 highly correlated features, along with the features with constant values such as 0 simultaneously. After this, there were still 53 features and one field for the label. All 53 of these features were useless for our prediction, thus, we eliminated all irrelevant information and retained only those necessary for the system to learn. The following columns, as indicated in Table 2, are removed during the learning process based on the results of pandas profiling.

Flows ID, Destination IP, Source IP, and Timestamp are categorical and sparse features that increase the space and time complexity of the models. The historical time series data, which contains a timestamp, is used to predict the future. As a result, we retain only the timestamp and drop the Flow ID, Destination IP, and Source IP. We use one-hot encoding\textsuperscript{32} to transform this categorical feature into a numerical attribute. Now, we have 50 features in our dataset. For feature selection, we used PCA. On applying PCA to our dataset, we got useful features such as Flow Duration, Fwd Pkt Len Mean, Flow Pkts/s, Bwd IAT minimum, Fwd Pkts/s, and Bwd Pkts/s. Initially, we decided to go with these seven features along with a timestamp for prediction and classification. However, since deep learning approaches are capable of recognizing features automatically from data, we are not overly concerned with selecting more features.

We also study IoT traffic flow characteristics, including flow size, flow duration, flow rate, and inter-arrival time (ΔT) in forward and backward directions. These characteristics will also help advance prediction of congestion on the nth timestamp. We also use inter-arrival time (ΔT) and flow rate as the target variable for advance prediction. We provide definitions for some terms related to network traffic flow.

- Flow size is the number of bytes sent with the payload, including retransmissions.
- We can measure flow duration as the difference between the timestamp of the first packet and the last packet in a flow.
- Flow rate is the ratio of flow size to flow duration and is represented with flow packets/second.

Flow size is a valuable statistic that is used to optimize routing algorithms, load balancing, and scheduling in IoT networks. Predicting flow size is especially difficult because flow patterns change constantly, and calculations must be made in real-time (milliseconds) to prevent delays. This is because the size of flows varies greatly (from small mice flows of a few kilobytes to large flows of several gigabytes), as

In Table 2, the following features are represented with flow packets/second:

<table>
<thead>
<tr>
<th>Feature</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subflow Bwd Packets</td>
<td>Fwd Bulk Rate Avg</td>
</tr>
<tr>
<td>Bwd IAT Max</td>
<td>Fwd IAT Mean</td>
</tr>
</tbody>
</table>

**Table 2. Features to be dropped**

<table>
<thead>
<tr>
<th>Active Max</th>
<th>Subflow Bwd Packets</th>
<th>Bwd IAT Max</th>
</tr>
</thead>
<tbody>
<tr>
<td>Active Min</td>
<td>Idle Min</td>
<td>Fwd Bulk Rate Avg</td>
</tr>
<tr>
<td>Bwd PSH Flags</td>
<td>Packet Length Min</td>
<td>Bwd URG Flags</td>
</tr>
<tr>
<td>Idle Max</td>
<td>Fwd IAT Total</td>
<td>Bwd Bytes/Bulk Avg</td>
</tr>
<tr>
<td>Bwd Segment Size Avg</td>
<td>Fwd Packet/Bulk Avg</td>
<td>Fwd URG Flag</td>
</tr>
<tr>
<td>URG Flag Count</td>
<td>Active Mean</td>
<td>ECE Flag Count</td>
</tr>
<tr>
<td>Fwd Bytes/Bulk Avg</td>
<td>ACK Flag Count</td>
<td>Fwd Packets/s</td>
</tr>
<tr>
<td>Bwd Packet Length Std</td>
<td>Subflow Bwd Bytes</td>
<td>Fwd IAT Std</td>
</tr>
<tr>
<td>Bwd IAT Std</td>
<td>Fwd IAT Mean</td>
<td>Active Std</td>
</tr>
<tr>
<td>Packet Length Mean</td>
<td>Fwd IAT Max</td>
<td>Fwd Segment Size Avg</td>
</tr>
</tbody>
</table>
well as the length of time they inhabit a route (milliseconds to hours). Since large flows hold the route for a longer time (flow duration) than small flows, there’s a chance that the number of active flows will become unbalanced due to a concentration of large flows in the routing path.

The quantity of available data determines the selection of a deep learning model. The data patterns may or may not be consistent due to the irregular traffic flow. The chances of the overfitting of the model may be high when we collect the data from these networks. The selection of the model for these types of cases is crucial. For example, accurate congestion prediction can suggest how much the sending rate of a node in the IoT network should be controlled. The deep learning algorithms may reduce the chances of overfitting.

Motivated by the excellent performance of deep learning techniques in images and natural language processing, we select TCN, LSTM, Gated recurrent unit (GRU), Stacked autoencoder (SAE), and CNN-LSTM models for the solution of proposed IoT network traffic classification and prediction approach. These techniques have the capability of automatically learning the features. The model validation verifies the overall accuracy of the model in terms of overfitting and underfitting. This verification of accuracy helps to optimize the model by increasing the volume of data and reducing the complexity of the model when data overfits. Analysis of wrongly classified samples helps to find the reasons for errors and check the suitability of the model and features. The processed dataset is divided into three parts, i.e., training (60 %), validation (20 %), and testing (20 %). We train different models with training data using various combinations and different values of hyper-parameters. Further, re-training is done on the different training and validation dataset combinations using the same set of hyper-parameters, and the accuracy is calculated for testing the dataset. We observe overfitting initially, as the training score is high, but the validation score is low. This score shows that the model has adapted well to the specific training dataset. However, the trial-and-run process to train a model is time-consuming. Therefore, we have used the Taguchi method to optimize the hyper-parameter and train the model. This method reduces the number of trials to optimize hyper-parameters.

4. MODEL CONSTRUCTION AND PARAMETER TUNING

In this section, we represent the basic architecture for convolution sequence forecasting and hyper-parameter tuning which is an integral part of the neural network. The series of IoT network traffic flow of length T with the input sequence \( \{p_1, p_2, \ldots, p_T\} \) is utilized for predicting the output sequence of traffic flow \( \{q_1, q_2, \ldots, q_T\} \). Here, observed data \( \{p_1, p_2, \ldots, p_T\} \) is the input to the model for the forecast of the output \( q_t \) at the time \( t \). Equation 3 defines the function \( f: P_t \rightarrow Q_t \) prediction of the traffic flow.

\[
\{q_1, q_2, \ldots, q_T\} = f(\{p_1, p_2, \ldots, p_T\}) \quad (3)
\]

Here, the function \( f \) must hold the condition that the \( q_t \) depends only on historical data \( \{p_1, p_2, \ldots, p_t\} \) rather than \( \{p_{t+1}, p_{t+2}, \ldots, p_T\} \). Equation 4 defines the function to forecast network traffic flow \( q_t \) at time \( t \) as follows.

\[
\hat{q}_t = f(\{p_1, p_2, \ldots, p_T\}) \quad (4)
\]

4.1 Temporal Convolutional Network

TCN is the variation of CNN for sequence modeling tasks. TCN is designed by combining the features of the RNN and CNN. TCN uses causal convolutions and dilated convolutions. The causal convolution is used for temporal data and ensures that the model will not violate the sequence in which the data is modeled. The prediction relies only on the historical data, not on future observations. TCN model inherits the property of 1-D fully convolution of generating the same length output as the input, and subsequent layers maintain the same length by adding zero padding to avoid information leakage. The accurate prediction of the traffic flow requires a large history and a very deep network. This makes the network structure of the model complicated and computationally intensive. The integration of dilated convolutions and residual layers with TCN solves this issue. Dilated convolutions, in particular, allow for an exponentially large receptive field to cover large history. Equation 5 shows the dilated convolution operation \( F \) on elements of the sequence \( s \) for the given 1-D sequence input \( p \in R_n \) and a filter \( f: 0 \cdot k \rightarrow R \).

\[
F(s) = (p * d_i)(s) = \sum_{i=0}^{k=1} f(i) p_{s-d_i} \quad (5)
\]

Here, \( d \) represents the dilation factor; \( i \) stand for past direction, \( k \) denotes the size of filter \( f \), and \( s-d_i \) maintain the history. The value of dilation factor \( d = 1 \) for dilated convolution in equation 5 works as the standard convolution. The exponential adjustment to \( d \) increases the receptive field size that covers the large history with the depth of the deep learning network. This represents the large range of inputs by leading the output at the top level. Filter size \( k \) is used to increase the receptive field of TCN. However, this approach increases parameter count and execution time, resulting in slow and overfitting of the model.

The residual connection is the next important part of TCN that includes a branch towards a series of transformations \( F \) whose outputs \( q \) are connected to the input \( p \) of the block. Figure 3 shows the diagram of the residual block. This block contains two weight layers along with the Rectified Linear Unit (ReLU) activation function. Moreover, regularization is achieved by connecting a spatial dropout layer after the last weight layer. Here, Eqn. 6 defines the residual block mathematically.

\[
q = W(\sigma(Wp) + \epsilon) \quad (6)
\]

Here, \( q \) is the output layer. The function \( F(p,W) \) shows the residual mapping to be learned, where \( W_{i} \) denotes the weights of \( i^{th} \) layer. The function for two layers of TCN is represented as \( F = W_1 \sigma(W_0 p) + \epsilon \), in which \( \sigma \) and \( \epsilon \) represent ReLU and
bias, respectively. To predict and detect network congestion in a home IoT network, we use TCN architecture. TCN architecture is made up of a collection of blocks; each block contains a set of \( N \) number of convolutional layers. Layers are made up of dilated convolutions with a dilation factor \( d \) and a nonlinear activation function \( f(.) \) associated with it. The convolution outcome is integrated with the layer’s input by using a residual relation in each dilated convolution.

\[
\text{bias} = \sum_{i=0}^{L} (q_i - F(i))^2
\]

Here, \( q \) is the output of the model at time \( t \), \( F(i) \) is the predicted output at time \( i \), and \( L \) is the length of the sequence. The goal is to minimize the value of \( L \) by keeping the training of the data. At time \( t \), \( q \) gives the forecasting result. The initial traffic flow at time \( t \) is represented by \( F(t) \). Parameters are configured as follows at the time of training of the TCN model: batch size 128, number of epochs 30, dropout as 0.5, and initial learning rate as 0.002. We use the stochastic gradient descent technique to decrease the learning rate at the time of training.

4.2 Hyper-parameter Tuning

Building a deep learning model for network traffic flow prediction requires the best combination of neural network parameters such as kernel size, number of filters, dilations list, count of residual block stacks, etc. Traditionally, a trial-and-error approach is used to find out the best combinations of these hyper parameters. However, it is inefficient in yielding high precision and time-consuming because it requires a huge number of trials. This prompted us to look for more coherent alternatives to the traditional trial-and-error approach. Instead, Zhao, et al.\(^3\)\(^4\) used the Taguchi approach to predict short-term traffic movement accurately or identify congestion sites for intelligent transportation systems (ITS) in smart cities. We believe that the problem of road traffic congestion is similar...
to the problem of network congestion, so we also incorporate the Taguchi method to determine the optimized values of the hyper-parameters of the deep learning model for IoT network congestion prediction. We have executed a small series of experiments to see if we could improve the structure of deep learning models that were trained by the training dataset. The trial run results show the disparity between the expected and real performance. The findings of the trial run help determine the most suitable hyper-parameter values for efficient performance metrics. The topology of the deep learning-based TCN model is then determined. The Taguchi approach is divided into three parts: (i) Design factors identification, (ii) Trial design and performance metrics, and (iii) Optimization of the model structure and performance analysis.

The first step of the Taguchi method is identifying the design factors and their corresponding levels. These design factors greatly influence the topology determination of the deep learning models. The number of filters used in deep learning models is considered the first design factor. The state-of-the-art shows that the most frequent number of filters used is 6, 12, and 24. We follow the same practice and use the 6, 12, and 24 filters at Levels 1, 2, and 3, respectively. The size of the kernel is the second design factor. We use the 10, 15, and 20 kernel sizes at each layer of the deep learning model for Levels 1, 2, and 3, respectively. The number of stacks of residual blocks is the third design factor. We use the 10, 15, and 20 stacks of residual blocks for Levels 1, 2, and 3, respectively. The state-of-the-art suggests the use of 2, 6, and 9 stacks of residual blocks. We follow the same practice and use the 6, 12, and 24 filters at Levels 1, 2, and 3, respectively. The list of dilation is the third design factor. The list of dilation gives the size of the deep learning model. We set the list A {2^0, 2^1, 2^2, 2^3}, list B {2^0, 2^1, 2^2, 2^3}, and list C as {1, 2, 3, ..., 9} for Levels 1, 2, and 3, respectively. The number of stacks of residual blocks is the fourth design factor. The state-of-the-art suggests the use of 2 to 9 stacks of residual blocks. We set the numbers 2, 6, and 9 stacks of residual blocks for Levels 1, 2, and 3, respectively.

We assess the performance of deep learning models for congestion prediction using Mean Absolute Error (MAE) in Eqn. (12) and Mean Relative Error (MRE) in Eqn. (13). These performance metrics show the absolute and mean difference between the actual network flow and the one predicted by the model, respectively.

$$MAE = \frac{1}{n} \sum_{i=0}^{n} \left| f_i - \bar{f}_i \right|$$

$$MRE = \frac{1}{n} \sum_{i=0}^{n} \left( \frac{f_i - \bar{f}_i}{f_i} \right)$$

Here, $f_i$ and $\bar{f}_i$ are actual and predicted traffic flow duration respectively. Here, $n$ shows the number of forecast points. Lower MAE and MRE are the indicators of less difference between the actual flow and predicted flow, which shows better accuracy of prediction.

As we have three levels and four design factors, the full factorial design requires a total of 81 trials. As prediction problem requires a large amount of historical data, full factorial design may be time-consuming. Therefore, we use fractional factorial designs. As with the consideration of four design factors along with three levels for each, we build an orthogonal array $A_{16}(3^4)$ for the trial design. We have optimized the structure of the deep learning model by executing the combination of design factors along with their levels in 16 trials. Every row of the orthogonal array $A_{16}(3^4)$ related to the main trial develops a deep learning model using a training dataset of the home IoT testbed. Table 3 shows the results of the 16 main trials for the seven days (March 1-7, 2021), along with the average result of the testing dataset. The accuracy of deep learning models is evaluated by the collected data of the Home IoT testbed over seven days with 16 main trials. The results in Table 3 depict

<table>
<thead>
<tr>
<th>Main Trials</th>
<th>Level</th>
<th>Day</th>
<th>MAE</th>
<th>MRE</th>
<th>Average Result of 5 Days</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>i</td>
<td>ii</td>
<td>iii</td>
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<td></td>
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<tr>
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<td>5th</td>
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<td>2.621</td>
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that the smallest MAE and MRE were obtained within the 16\textsuperscript{th} trial with 24 numbers of filters, 2 numbers of stacks, a 15 kernel size, and the dilation with list A. In Table 3 top three trials are the 7\textsuperscript{th}, 11\textsuperscript{th}, and main trial 16\textsuperscript{th} with list A setting of dilation. This concludes that the dilation with list A gives better accuracy than the other two lists. The main trials 1\textsuperscript{st}, 4\textsuperscript{th}, and 5\textsuperscript{th} with the 6 filters and small kernel size perform poorly concerning MAE and MRE. This concludes that the performance of the deep learning models varies with the change in the combinations of the parameters.

Every trial under the Taguchi method has orthogonal combinations. Hence, we can separately analyze the effect of individual design factors. The effect of every design factor at a specific level is determined by averaging the respective values in Table 3. In particular, the design factor i with Level 2 is in 6\textsuperscript{th}, 7\textsuperscript{th}, 8\textsuperscript{th}, 9\textsuperscript{th}, and 10\textsuperscript{th} main trials, and we can find its average effects 23.9428 (MAE) and .121 (MRE).

Table 3 shows the effects of all four design factors at all their corresponding three levels. The sensitivity (identification of true positive) of design factors is also determined using range analysis, which is defined as the difference between the highest and lowest performance values for each design factor. Table 4 displays the sensitivity data. We can find the order of the sensitivity by sorting the sensitivity values of four design factors from high to low, as $i > ii > iv > iii$ in terms of MAE. The same concept applies to finding the order of the sensitivity in terms of MRE, and the order is as $i > ii > iv > iii$. These orders depict that the design factor i has the lower effect value of MAE and MRE; hence, it is considered the primary factor for the TCN forecasting model for better performance. Here, Tables 3 and 4 help to determine the optimized parameters of the TCN model for the IoT network traffic flow forecasting in the Home IoT testbed. These parameters are the design factors and $i$, $ii$, $iii$, and $iv$ with values that exist at Level 2. TCN model

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<tr>
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<td>1.997</td>
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<td>11</td>
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<tr>
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<td>4.948</td>
<td>0.066</td>
<td>28.5</td>
<td>12</td>
<td>0.162</td>
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<td>3.414</td>
<td>0.043</td>
<td>21.556</td>
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<td>0.1</td>
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<td>8</td>
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<td>0.255</td>
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<td>0.218</td>
<td>5.184</td>
<td>0.116</td>
<td>9.103</td>
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<td>11</td>
<td>9.587</td>
<td>0.128</td>
<td>1.488</td>
<td>0.018</td>
<td>5.184</td>
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<tr>
<td>12</td>
<td>0.306</td>
<td>0.008</td>
<td>1.817</td>
<td>0.025</td>
<td>20.191</td>
</tr>
</tbody>
</table>
with filter counts as 12, size of the kernel as 15, dilation list B, and 6 residual blocks gives the highest accuracy in forecasting among all the Taguchi experimental trials.

Table 4. Sensitivity values for design factors

<table>
<thead>
<tr>
<th>Design Factor</th>
<th>MAE</th>
<th>MRE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>i</td>
<td>ii</td>
</tr>
<tr>
<td>Level 1</td>
<td>32.6</td>
<td>30.5638</td>
</tr>
<tr>
<td>Level 2</td>
<td>22.3428</td>
<td>20.762</td>
</tr>
<tr>
<td>Level 3</td>
<td>22.7536</td>
<td>24.5988</td>
</tr>
<tr>
<td>Sensitivity</td>
<td>10.2572</td>
<td>9.8018</td>
</tr>
</tbody>
</table>

5. RESULTS

On the basis of the prepared traffic dataset, we performed several experiments. This work compares TCN with four other deep-learning methods commonly used as traffic flow predictors, including the LSTM, GRU, SAE, and CNN-LSTM, concerning two performance metrics, MAE and MRE, to show the TCN model’s prediction accuracy. All experiments are implemented in Tensorflow, and performance matrices are calculated using scikit-learn package. We use i7-9700, a desktop computer with 16 GB of RAM, in all experiments. Here, IoT traffic includes two types of traffic: first, traffic that flows through the devices autonomously independent of user activity; second, traffic that flows due to user communication with the devices, e.g., Airveda Smart Air Quality Monitor responding to Android user, Amazon Alexa Echo, Neato vacuum cleaner, so on.

Flow rate and inter-arrival time are the attributes used for prediction of congestion in our approach. We analyze the estimation of congestion in live network traffic further to test the success of the deep learning methods. The TCN-based approaches effectively anticipate congestion condition in contrasting situations and ground-truth information. As the nature of our IoT network traffic is unbalanced at some points, the error increases slightly during peak traffic hours. However, adding the dropout layer in the model to perform regularization results in a decreased gap between actual and predicted values during peak traffic hours. Hereby, we have a closer look at prediction when network traffic flow is high. Figure 5 shows that at 1:30 pm and 3:45 pm, there is low and high network traffic, respectively, and error increases in these fluctuations; however, in these situations TCN model follows the traffic trend.

The interarrival packet time is an important feature as it shows that packets are received in regular intervals in the case of normal traffic, whereas in the case of congestion, the reception of packets has almost zero interarrival time. The first derivative, \( \frac{d\Delta T}{dt} \), and second the derivative \( \frac{d^2\Delta T}{dt^2} \), of the \( \Delta T \), capitalize the difference between normal traffic and congestion. We have also predicted the interarrival time of the packets at the gateway. Figure 6 shows prediction of the average interarrival time of the packets on 15th March 2021. The MAE, MRE, and forecasting accuracy in (%) of the Packet Flow/Sec with the different models (TCN, GRU, LSTM, SAE, and CNN-LSTM) are shown in Table 5. The results show that TCN has a better forecasting accuracy than other deep learning-based models for predicting IoT network traffic. It’s worth mentioning that the TCN model, when combined with Taguchi hyper-parameter optimization, achieves a forecasting precision of approximately 95 %, which is 15 % higher than the LSTM deep learning model and 10 % to 12 % higher than CNN-LSTM, SAE, and GRU.

Furthermore, we claim that the MAE and MRE values for the TCN model are lower than other deep learning models, implying that the TCN model’s forecasting accuracy is superior to that of other models. The MAE value of the TCN model is 7.4237, which is much less in comparison to LSTM’s MAE value of 28.6085 and CNN-LSTM’s MAE value of 26.5688. In the case of MRE, the TCN model outperforms and has a lower value of 0.0457, which is one-fourth of the LSTM and GRU models, as shown in Table 5.

Table 5. Performance evaluation of TCN with other deep learning models

<table>
<thead>
<tr>
<th>Algorithm</th>
<th>MAE</th>
<th>MRE</th>
<th>Forecasting accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>TCN</td>
<td>7.4237</td>
<td>0.0457</td>
<td>95.52%</td>
</tr>
<tr>
<td>LSTM</td>
<td>28.6085</td>
<td>0.1901</td>
<td>80.46%</td>
</tr>
<tr>
<td>GRU</td>
<td>35.0772</td>
<td>0.1821</td>
<td>82.98%</td>
</tr>
<tr>
<td>SAE</td>
<td>34.4004</td>
<td>0.1680</td>
<td>83.29%</td>
</tr>
<tr>
<td>CNN-LSTM</td>
<td>26.5688</td>
<td>0.1401</td>
<td>84.98%</td>
</tr>
</tbody>
</table>
We show the output of the various deep learning models, including LSTM, SAE, GRU, and CNN-LSTM, along with the TCN model shown in Fig. 7. These models show the traffic forecasting for the nth day. The blue and red lines show the actual and predicted traffic flow.

![Figure 6. Observation of inter-arrival time using TCN.](image)

Figure 6. Observation of inter-arrival time using TCN.

Figure 7 shows that forecasting the average flow in packet/sec from the TCN model yields improved results than other deep learning models. We measure the time taken to train the model and also prediction time required by the model. Integration of the Taguchi method with TCN took approximately an hour to train the model for optimizing the configuration of hyperparameters. TCN model takes less than one second to forecast the IoT network traffic flow subject to giving all past input sequential data. To conclude, the TCN model achieves the highest accuracy and very low forecasting difference between ground truth and predicted value than LSTM and GRU models for IoT network traffic flow prediction. Therefore, TCN based approach is effective and promising for predicting real IoT network traffic.

![Figure 7. Forecasting of IoT network traffic with different deep learning models.](image)

Figure 7. Forecasting of IoT network traffic with different deep learning models.

6. CONCLUSION

This article contributes to meliorating the existing alternatives and potentialities of congestion detection and prediction techniques in present network monitoring systems, mainly focused on IoT networks, where detection and prediction of congestion in the network are highly desirable. In this paper, in contrast to state-of-the-art, we use the deep learning-based TCN model. To the best of our knowledge, we are pioneers in applying the TCN for congestion detection and prediction. Furthermore, we incorporate the Taguchi method to enhance the structure of TCN which improves traffic flow forecasting. The other possibilities offered by deep learning models to detect and predict congestion in IoT network is thoroughly examined in this chapter. With the real network traffic, we evaluated TCN with LSTM, GRU, SAE, and CNN-LSTM and observed that TCN achieves better forecasting results. It demonstrates that TCN can efficiently predict and detect congestion in IoT networks. In the future, efforts will be made to improve the accuracy of the TCN model by focusing on inevitable conditions such as random bursts.

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JAIN, et al.: CONGESTION PREDICTION IN INTERNET OF THINGS NETWORK USING TEMPORAL CONVOLUTIONAL NETWORK

Computer Vision and Pattern Recognition, 2017, 156-165.
doi:10.48550/arXiv.1611.05267


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He designed and developed the proposed approach along with implementation for this study.

Dr Arka Prokash Mazumdar received his PhD degree from Indian Institute of Technology, Patna. He is Assistant Professor at the Malaviya National Institute of Technology in department of Computer Science and Engineering. He is coauthor of 40+ technical contributions including papers published in journals and conferences. His research interests focus mainly on the Internet of Things. He helped in the creating the Home IoT network for this study.

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